

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):	Michael W. Pfeiffer et al			
Serial No.:				Examiner:
Filed:	4-2-2004	Group Art Unit:		
Title:	Protecting And Merging A Head Stack Assembly Of A Data Storage Device			
Docket:	STL11386			

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
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INFORMATION DISCLOSURE STATEMENTSection 1. **Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)**

Form PTO/SB/08A is attached hereto.

Section 2. **Remarks or Additional Statements**

- This Information Disclosure Statement is being filed along with a new patent application.
- This Information Disclosure Statement is being filed *within* three months of the filing date of the application or date of entry into the national stage of an international application or *before* the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).
- 37 C.F.R. §1.97(c) This Information Disclosure Statement is being filed *after* three months of the filing date of this national application or the date of entry of the national Stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
 1. a final action under section 1.113; or
 2. a notice of allowance under section 1.311
whichever occurs first.
 - A fee under 37 C.F.R. §1.17(p) is required because no certification under 37 C.F.R. §1.97(e) has been made; or
 - No fee is required because certification statement under 37 C.F.R. §1.97(e) is noted below.
- 37 C.F.R. §1.97(d) This Information Disclosure Statement is being filed *after* a final action under §1.113, or a notice of allowance under §1.113, whichever occurs first, but before, or simultaneously with, the payment of the issue fee.
 - A fee under 37 C.F.R. §1.17(p) is required and either of the statements below (37 C.F.R. §1.97(e)).

37 C.F.R. §1.97(e) Choose either Statement:

Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing; or

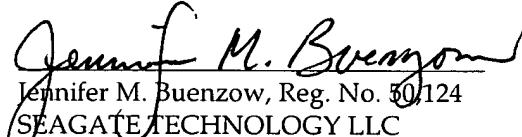
No item of information contained in the IDS was cited in a communication from a foreign patent office in the counterpart foreign application, and to my knowledge no item of information contained in the IDS was known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this IDS.

Consideration of the listed items is respectfully requested.

Respectfully submitted,

SEAGATE TECHNOLOGY LLC
(Assignee of Entire Interest)

2 April 2004
Date


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Substitute for form 1449A/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of 1

Attorney Docket Number

STL11386

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AA	US- 4,851,943		7-25-1989	Perry	
AB	US- 4,862,584		9-5-1989	Budy	
AC	US- 5,150,512		9-29-1992	Hatchett	
AD	US- 5,465,476		11-14-1995	Krajec	
AE	US- 5,471,733		12-5-1995	Bennett	
AF	US- 5,482,164		1-9-1996	Karns	
AG	US- 5,540,542		7-30-1996	Krajec	
AH	US- 5,592,289		1-7-1997	Norris	
AI	US- 5,826,325		10-27-1998	Price	
AJ	US- 5,984,104		11-16-1999	Schott	
AK	US- 6,014,290		1-11-2000	Supramaniam	
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AM	US- 6,163,439		12-19-2000	Jeong	
AN	US- 6,191,345		2-20-2001	Arthur	
AO	US- 6,452,753		9-17-2002	Hiller	
AP	US- 6,577,473		6-10-2003	Macpherson	
AQ	US- 5,692,289		12-2-1997	Amada	
AR	US- 2002/0191345		12-19-2002	Macpherson	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature		Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

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Application Number	
Filing Date	4-2-2004
First Named Inventor	Michael W. Pfeiffer
Art Unit	
Examiner Name	

(Use as many sheets as necessary)

Sheet

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Attorney Docket Number

STI 11386

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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